

FORM 1 - FOR LUMP SUM / SIP INVESTMENTS



Application No.

THE APPLICATION FORM SHOULD BE FILLED IN BLOCK LETTERS ONLY.

Distributor ARN	Sub-Distributor ARN	Internal Sub-Broker / Sol ID	Employee Code	EUIN	Serial No., Date & Time Stamp
ARN	ARN			E	

Upfront commission shall be paid directly by the investor to the AMFI registered distributor based on the investor's assessment of various factors including the service rendered by the distributor.

"I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of inappropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker."

First / Sole Applicant /
Guardian

Second Applicant

Third Applicant

Power of Attorney Holder

TRANSACTION CHARGES FOR APPLICATIONS THROUGH DISTRIBUTORS ONLY (Refer 20) In case the subscription amount is ₹ 10,000 or more and your Distributor has opted to receive Transaction Charges, the same are deductible as applicable from the purchase/ subscription amount and payable to the Distributor. Units will be issued against the balance amount invested.

☐ I confirm that I am a first time investor across Mutual Funds.

☐ I confirm that I am an existing investor in Mutual Funds.

1 UNIT HOLDING OPTION (To be filled in case of demat holding only)

☐ DEMAT MODE ☐ PHYSICAL MODE

Demat Account Details of First / Sole Applicant

(Name should be as per demat account)

Depository Participant Name

NSDL	DP ID	IN	CDSL	Beneficiary ID
	Beneficiary ID		Note: Please attach copy of Client Master List.	

4 MODE OF HOLDING (in case of Demat Purchase Mode of Holding should be same as in Demat Account)

2 EXISTING INVESTOR'S FOLIO NUMBER

(If you have an existing folio with KYC validated, please mention here and skip to section 6/8.)

Folio Number

3 INVESTMENT TYPE (Please tick any one)

☐ LUMP SUM ☐ LUMP SUM WITH SIP ☐ LUMP SUM WITH STP

5 FIRST APPLICANT'S DETAILS (Non-individual investors please fill in FATCA / CRS, UBO annexure and attach along with application form) Ref. 9 & 22. All fields are mandatory.

Gender ☐ Male ☐ Female

Name (1st) (As in PAN card/KYC records)

PAN (Minor / 1st Holder) Refer 10

Father's Name

Date of birth
(Minor / 1st Holder)

D D M M Y Y

Name of the Guardian (in case of minor please attach proof of date of birth) / POA (Contact person for non individuals / PoA holder name)

Guardian / PoA PAN

Country of Birth Place of Birth Nationality

For Investments "On behalf of Minor" (Refer 11) ☐ Birth Certificate ☐ School Certificate ☐ Passport ☐ Other ☐ Specify Guardian named above is ☐ Father ☐ Mother ☐ Court Appointed

Correspondence address (Please note: Address will be replace as per KYC records)

City State Country Pin Code

Overseas address (For FIIs/NRIs/PIOs)

City State Country Pin Code

Email Mobile Tel.

Status ☐ Resident Individual ☐ Proprietor ☐ HUF ☐ Minor ☐ Society ☐ FII ☐ NRI ☐ PIO
☐ Partnership Firm ☐ Trust ☐ Company ☐ NPO* ☐ Other ☐ Specify ☐ PIO
*Other than NPO

Occupation ☐ Pvt. Sector Service ☐ Public Sector ☐ Gov. Service ☐ Housewife ☐ Defence ☐ Professional ☐ Retired ☐ Business
☐ Agriculture ☐ Student ☐ Forex Dealer ☐ Other ☐ Specify

Are you FATCA Compliant (Please tick any one) ☐ Yes ☐ No (if no, please fill below details)

Address of tax residence would be taken as available in KRA database. In case of any change please approach KRA & notify the changes

Type of address given at KRA ☐ Residential or Business ☐ Residential ☐ Business ☐ Registered Office

Permissible documents are ☐ Passport ☐ Election ID Card ☐ PAN Card ☐ Govt. ID Card ☐ Driving License ☐ UIDAI Card ☐ NREGA Job Card ☐ Others ☐ specify

Gross Annual Income OR Net-worth* in ₹ *Not older than one year Any other information	INDIVIDUALS	☐ < 1L ☐ 1-5L ☐ 5-10L ☐ 10-25L ☐ > 25L	as on	D D M M Y Y	NON-INDIVIDUALS	☐ < 1L ☐ 1-5L ☐ 5-10L ☐ 10-25L ☐ > 25L ☐ 25L-1C ☐ > 1C	as on	D D M M Y Y	Is the entity involved in any of the following: Foreign Exchange/ Money Changer ☐ Yes ☐ No Gaming/ Gambling/ Lottery (casinos, betting syndicates) ☐ Yes ☐ No Money Lending/ Pawning ☐ Yes ☐ No
		☐ Politically Exposed Person (PEP) ☐ Related to a PEP ☐ Not Applicable							

...Continued Overleaf

6 DEBIT MANDATE (For Axis Bank A/c only.) To be processed in CMS software under client code "AXISMF"

TO BE DETACHED BY KARVY & PRESENTED TO AXIS BANK CMS

Application No.

I/ We Name of the account holder(s) authorise you to debit my/our account no.

Date

Account type ☐ Savings ☐ NRO ☐ NRE ☐ Current ☐ FCNR

☐ Others ☐ Specify to pay for the purchase of ☐ Axis Dynamic Bond Fund ☐ Axis Income Fund ☐ Axis Fixed Income Opportunities Fund

☐ Axis Constant Maturity 10 Year Fund ☐ Axis Banking Debt Fund ☐ Axis Short Term Fund ☐ Axis Liquid Fund ☐ Axis Treasury Advantage Fund

Amount (figures) (words)

Signature of First Account Holder

Signature of Second Account Holder

Signature of Third Account Holder

ACKNOWLEDGMENT SLIP Received subject to realisation, verification and conditions, an application for purchase of Units as mentioned in the application form.

Application No.

From

Cheque no.	Date	Amount	Scheme

Stamp & Signature

Are you a tax resident of any country other than India? ☐ Yes ☐ No (If yes, please indicate all countries in which you are resident for tax purposes and the associated Tax ID Numbers below.)		
Country [#]	Tax identification number [%]	Identification type (TIN or Other, please specify)

#To also include USA, where the individual is a citizen / green card holder of the USA %In case Tax Identification Number is not available, kindly provide its functional equivalent \$

SECOND APPLICANT'S DETAILS (All fields are mandatory)		Gender ☐ Male ☐ Female
Name (2 nd) (As in PAN card/KYC records)		
Father's Name		
PAN	Mobile	Email
Date of birth	Enclose ☐ Attested PAN card copy ☐ KYC Acknowledgment (Refer 8)	
Country of Birth	Place of Birth	Nationality
Status ☐ Resident Individual ☐ Proprietor ☐ HUF ☐ Minor ☐ Society ☐ FII ☐ NRI ☐ PIO ☐ Partnership Firm ☐ Trust ☐ Company ☐ Other Specify	INDIVIDUALS	Gross Annual Income OR Net-worth* in ₹
Occupation ☐ Pvt. Sector Service ☐ Public Sector ☐ Gov. Service ☐ Housewife ☐ Defence ☐ Retired ☐ Professional ☐ Business ☐ Agriculture ☐ Student ☐ Forex Dealer ☐ Other Specify		☐ < 1L ☐ 1-5L ☐ 5-10L ☐ 10-25L ☐ > 25L as on ☐ Politically Exposed Person (PEP) ☐ Related to a PEP ☐ Not Applicable
*Should not be older than one year Any other information		

Are you FATCA Compliant (Please tick any one) ☐ Yes ☐ No (if no, please fill below details)	
Address of tax residence would be taken as available in KRA database. In case of any change please approach KRA & notify the changes	
Type of address given at KRA ☐ Residential or Business ☐ Residential ☐ Business ☐ Registered Office	
Permissible documents are ☐ Passport ☐ Election ID Card ☐ PAN Card ☐ Govt. ID Card ☐ Driving License ☐ UIDAI Card ☐ NREGA Job Card ☐ Others	specify

Are you a tax resident of any country other than India? ☐ Yes ☐ No (If yes, please indicate all countries in which you are resident for tax purposes and the associated Tax ID Numbers below.)		
Country [#]	Tax identification number [%]	Identification type (TIN or Other, please specify)

#To also include USA, where the individual is a citizen / green card holder of the USA %In case Tax Identification Number is not available, kindly provide its functional equivalent \$

THIRD APPLICANT'S DETAILS (All fields are mandatory)		Gender ☐ Male ☐ Female
Name (2 nd) (As in PAN card/KYC records)		
Father's Name		
PAN	Mobile	Email
Date of birth	Enclose ☐ Attested PAN card copy ☐ KYC Acknowledgment (Refer 8)	
Country of Birth	Place of Birth	Nationality
Status ☐ Resident Individual ☐ Proprietor ☐ HUF ☐ Minor ☐ Society ☐ FII ☐ NRI ☐ PIO ☐ Partnership Firm ☐ Trust ☐ Company ☐ Other Specify	INDIVIDUALS	Gross Annual Income OR Net-worth* in ₹
Occupation ☐ Pvt. Sector Service ☐ Public Sector ☐ Gov. Service ☐ Housewife ☐ Defence ☐ Retired ☐ Professional ☐ Business ☐ Agriculture ☐ Student ☐ Forex Dealer ☐ Other Specify		☐ < 1L ☐ 1-5L ☐ 5-10L ☐ 10-25L ☐ > 25L as on ☐ Politically Exposed Person (PEP) ☐ Related to a PEP ☐ Not Applicable
*Should not be older than one year Any other information		

Are you FATCA Compliant (Please tick any one) ☐ Yes ☐ No (if no, please fill below details)	
Address of tax residence would be taken as available in KRA database. In case of any change please approach KRA & notify the changes	
Type of address given at KRA ☐ Residential or Business ☐ Residential ☐ Business ☐ Registered Office	
Permissible documents are ☐ Passport ☐ Election ID Card ☐ PAN Card ☐ Govt. ID Card ☐ Driving License ☐ UIDAI Card ☐ NREGA Job Card ☐ Others	specify

Are you a tax resident of any country other than India? ☐ Yes ☐ No (If yes, please indicate all countries in which you are resident for tax purposes and the associated Tax ID Numbers below.)		
Country [#]	Tax identification number [%]	Identification type (TIN or Other, please specify)

#To also include USA, where the individual is a citizen / green card holder of the USA %In case Tax Identification Number is not available, kindly provide its functional equivalent \$

QUICK CHECKLIST

- | | |
|---|---|
| ☐ KYC acknowledgement letter (Compulsory for MICRO Investments) | ☐ SIP Registration Mandate - NACH for SIP investments |
| ☐ Self attested PAN card copy | ☐ Multiple Bank Accounts Registration form (if you want to register multiple bank accounts so that future payments can be made from any of the accounts) |
| ☐ Email id and mobile number provided for online transaction facility | ☐ Relationship proof between Guardian and Minor (if application is in the name of a Minor) attached |
| ☐ Plan / Option / Sub Option name mentioned in addition to scheme name | ☐ Additional documents attached for Third Party payments. Refer instructions. |
| | ☐ FATCA Declaration. |

 EasyInvest https://online.amfi.com Invest online without any prior registration.	 EasyCall 1800 221212 / 1800 2000 2300 Buy / Sell units without PINs or Passwords.	 EasySMS SMS HELP to 92120 10033 Transact and get fields details on the go.	 EasyApp SMS EasyApp to 92120 10033 to download. Invest with ease on your Android smartphone.	 Risk Managed Products
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Buy means purchase and *Sell* means redemption of units of Axis Mutual Fund schemes.

Bank Name																												
Bank A/c No.																					Type	☐ Current	☐ Savings	☐ NRO	☐ NRE	☐ FCNR	☐ Others	Specify
Branch Name											City											Pin						
IFSC Code (11 digit)*												MICR Code (9 digit)*									*Mentioned on your cheque leaf							

Payment type ☐ Non-Third Party Payment ☐ Third Party Payment (Please attach 'Third Party Payment Declaration Form')

Scheme Plan Option Sub Option Dividend Frequency

Mode ☐ Cheque ☐ DD ☐ Axis Bank Debit Mandate (Please fill section 6.)										Cheque / DD no.										Dated										D	D	M	M	Y	Y				
Amount (figures)										(words)																													
Pay-in A/c no.																																							
Account type ☐ Savings ☐ NRO ☐ NRE ☐ Current ☐ FCNR ☐ Others										Specify										Drawn on bank / branch name																			

Monthly SIP Amount (figure)		(words)		
SIP frequency (tick ☒ any one)	☐ Monthly	☐ Yearly (Default Frequency Monthly)	Preferred Debit Date (Any date except 29 th , 30 th and 31 st) (ref 13(ii))	D D If no debit date is mentioned default date would be considered as 7th of every month.
SIP period	Start Date	M M Y Y	End Date	M M Y Y
		OR	☐ End date (ref 13(i))	1 2 9 9 If end date is not mentioned then the SIP will be considered for perpetuity (Dec 2099).
First SIP Installment details	Mode	☐ Cheque / DD	☐ Axis Bank Debit Mandate (Please fill section 6.)	Dated
				D D M M Y Y
Drawn on bank / branch name				Cheque / DD no.

	First Nominee								Second Nominee								Third Nominee											
Name (as in PAN card/KYC records)																												
PAN																												
Date of Birth	D	D	M	M	Y	Y	Y	Y	D	D	M	M	Y	Y	Y	Y	D	D	M	M	Y	Y	Y	Y				
Relationship with Investor																												
Address																												
Guardian Name (in case Nominee is a Minor)																												
Signature (Guardian in case Nominee is a Minor)																												
Allocation % (Total to be 100%)																												
Unit Holder's Signature If you do not wish to nominate sign here.	First / Sole Applicant / Guardian								Second Applicant								Third Applicant								Power of Attorney Holder			

Having read and understood the content of the SID / SAI of the scheme, I/we hereby apply for units of the scheme. I have read and understood the terms, conditions, details, rules and regulations governing the scheme. I/We hereby declare that the amount invested in the scheme is through legitimate source only and does not involve designed for the purpose of the contravention of any Act, Rules, Regulations, Notifications or Directives of the provisions of the Income Tax Act, Anti Money Laundering Laws, Anti Corruption Laws or any other applicable laws enacted by the Government of India from time to time. I/we have not received nor have been induced by any rebate or gifts, directly or indirectly in making this investment. I/We confirm that the funds invested in the Scheme, legally belongs to me/us. In event "Know Your Customer" process is not completed by me/us to the satisfaction of the Mutual Fund, (I/we hereby authorize the Mutual Fund, to redeem the funds invested in the Scheme, in favour of the applicant, at the applicable NAV prevailing on the date of such redemption and undertake such other action with such funds that may be required by the law.) The ARN holder has disclosed to me/us all the commissions (trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds amongst which the Scheme is being recommended to me/ us. I/We confirm that I/We do not have any existing Micro SIP/Lumpsum investments which together with the current application will result in aggregate investments exceeding ₹ 50,000 in a year (Applicable for Micro investment only.) with your fund house. For NRIs only - I / We confirm that I am/ we are Non Residents of Indian nationality/origin and that I/We have remitted funds from abroad through approved banking channels or from funds in my/ our Non Resident External / Non Resident Ordinary / FCNR account. I/We confirm that details provided by me/us are true and correct.

I / We have understood the information requirements of this Form (read along with the FATCA & CRS Instructions) and hereby confirm that the information provided by me/us on this Form is true, correct, and complete. I / We also confirm that I / We have read and understood the FATCA & CRS Terms and Conditions below and hereby accept the same.

First / Sole Applicant / Guardian	Second Applicant	Third Applicant	Power of Attorney Holder
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Date :

D	D	M	M	Y	Y
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Place :