

COMMON APPLICATION FORM

Fill the form in BLOCK letters only | Leave one space between words

Indiabulls
MUTUAL FUND

1	Distributor ARN Code	Sub-Distributor ARN Code	Internal Sub-Broker / Employee Code	EUIN	Application No.
					C

Up front commission shall be paid directly by the investor to the AMFI registered distributor based on the investor's assessment of various factors including the service rendered by the distributor.

☐ I/We, hereby confirm that the EUIN box has been intentionally left blank by me/us as this is an "execution-only" transaction without any interaction or advice by the employee/relationship manager/sales person of the above distributor or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor and the distributor has not charged any advisory fees on this transaction.

Sign Here	Sign Here	Sign Here
First / Sole Applicant /Guardian POA	Second Applicant	Third Applicant

Transaction charges for applications through distributors only

☐ I confirm that I am a first time investor across Mutual Funds. (₹ 150/- will be deducted as Transaction Charges for Transaction of ₹ 10,000/- and more)

☐ I confirm that I am an existing investor across Mutual Funds. (₹ 100/- will be deducted as Transaction Charges for Transaction of ₹ 10,000/- and more)

2 EXISTING UNIT HOLDER INFORMATION For existing Investors please fill in your folio number

Name	Mr Ms M/s	FIRST	MIDDLE	LAST	Folio No	
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3 APPLICANT(S) INFORMATION Refer Instruction No II

1st Applicant	Name	Mr Ms M/s	FIRST	MIDDLE	LAST	DOB	D	D	M	M	Y	Y	Y	Y
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PAN/PEKRN		PAN Proof Enclosed please ✓	<input type="checkbox"/>	KYC Proof Enclosed please ✓	<input type="checkbox"/>
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Gross Annual Income (Rs.) [Please tick (✓)] ☐ Below 1 Lac ☐ 1-5 Lacs ☐ 5-10 Lacs ☐ 10-25 lacs ☐ >25 Lacs-1Crore ☐ >1 Crore

OR
Net-worth (Mandatory for Non-Individuals) Rs. _____ as on ☐ D ☐ D ☐ M ☐ M ☐ Y ☐ Y ☐ Y ☐ Y (Not older than 1 year)

Politically Exposed Person (PEP) Status (Also applicable for authorised signatories/Promoters/Karta/Trustee/Whole time Directors) ☐ I am PEP ☐ I am Related to PEP ☐ N/A

Guardian (In case of Minor) / POA Holder	Name	Mr Ms M/s	FIRST	MIDDLE	LAST
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Relationship		PAN		PAN Proof Enclosed please ✓	<input type="checkbox"/>	KYC Proof Enclosed please ✓	<input type="checkbox"/>
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Mode of holding please ✓ ☐ Single ☐ Joint ☐ Anyone or Survivor(s) (Default Option - Joint)

Occupation please ✓ ☐ Business ☐ Professional ☐ Service ☐ Retired ☐ Student ☐ House wife ☐ Others SPECIFY

FATCA INFORMATION (1st Applicant/Non-Individual)

Country of Birth/Registration _____ Country of citizenship / Nationality: ☐ India ☐ USA Other (please specify) _____

Country of Tax Residency: ☐ India ☐ USA Other (please specify) _____ Tax Reference Number _____

Status please ✓	<input type="checkbox"/> Resi Individual <input type="checkbox"/> Flls <input type="checkbox"/> Society <input type="checkbox"/> AOP/BOI <input type="checkbox"/> Banks <input type="checkbox"/> Fls <input type="checkbox"/> Trust <input type="checkbox"/> Company/Corporate Body
	<input type="checkbox"/> Partnership Firm <input type="checkbox"/> HUF <input type="checkbox"/> Minor <input type="checkbox"/> NRI Repatriable <input type="checkbox"/> NRI Non-Repatriable <input type="checkbox"/> PIO <input type="checkbox"/> Others SPECIFY

Non-Individual Investor involved / providing any of the mentioned services ☐ Foreign Exchange / Money Changer Services ☐ Money lending / Pawning
(All Non-Individual Investors have to MANDATORILY fill UBO Declaration Form) ☐ Gaming / Gambling / Lottery / Casino Services ☐ None of the above

Mailing Address - 1st Applicant / Guardian / Corporate

ADDRESS LINE 1	
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ADDRESS LINE 2	CITY	STATE	COUNTRY	PIN CODE
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Overseas Address - Mandatory for NRI / FII/ PIO Applicant, Please provide your complete address. PO Box alone is not adequate

ADDRESS LINE 1	
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ADDRESS LINE 2	CITY	STATE	COUNTRY	PIN CODE
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Contact Details of SOLE / FIRST Applicant

STD Code		Residence		Office		Mobile No	+91
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Email Id		Contact Person (in case of corporate)	
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Mode of Correspondence: Where the Investor has provided his e-mail id, the AMC shall send all communication to the investor via e-mail. Investors who wish to receive correspondence through physical mode instead of email are requested to tick (✓). Email communication will help save paper & planet.

☐ I / We wish to receive communication through physical mode in lieu of email ☐ I / We don't wish to receive consolidated account statement (CAS)

2nd Applicant (Second Applicant not allowed in case of minor as First / Sole applicant)

Name	Mr Ms M/s	FIRST	MIDDLE	LAST	DOB	D	D	M	M	Y	Y	Y	Y
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PAN/PEKRN		PAN Proof Enclosed please ✓	<input type="checkbox"/>	KYC Proof Enclosed please ✓	<input type="checkbox"/>
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Gross Annual Income (Rs.) [Please tick (✓)] ☐ Below 1 Lac ☐ 1-5 Lacs ☐ 5-10 Lacs ☐ 10-25 lacs ☐ >25 Lacs-1Crore ☐ >1 Crore

Occupation Details [Please tick (✓)] ☐ Service ☐ Private Sector ☐ Public Sector ☐ Government Service ☐ Student ☐ Professional

☐ Housewife ☐ Business ☐ Retired ☐ Agriculture ☐ Proprietorship ☐ Others _____ (please specify)

FATCA INFORMATION (2nd Applicant/Non-Individual)

Country of Birth/Registration _____ Country of citizenship / Nationality: ☐ India ☐ USA Other (please specify) _____

Country of Tax Residency: ☐ India ☐ USA Other (please specify) _____ Tax Reference Number _____

Politically Exposed Person (PEP) Status (Also applicable for authorised signatories/Promoters/Karta/Trustee/Whole time Directors) ☐ I am PEP ☐ I am Related to PEP ☐ N/A

Acknowledgement

Received from Mr / Ms / M/s _____ an application for allotment of units under _____ as per the details below.

Plan ☐ Direct Plan ☐ Existing/ Regular Plan

Options ☐ Growth

☐ Dividend (☐ Payout ☐ Reinvestment) Frequency: _____

APPLICATION NO. C

TIME STAMP & DATE OF RECEIVING OFFICE

3rd Applicant (Third Applicant not allowed in case of minor as First / Sole applicant)

Name	<div>Mr Ms M/s</div>	FIRST	MIDDLE	LAST	DOB	<div>D D M M Y Y Y Y</div>
PAN/PEKRN					PAN Proof Enclosed please <input checked="" type="checkbox"/>	KYC Proof Enclosed please <input checked="" type="checkbox"/>
Gross Annual Income (Rs.) [Please tick (✓)]	<input type="checkbox"/> Below 1 Lac	<input type="checkbox"/> 1-5 Lacs	<input type="checkbox"/> 5-10 Lacs	<input type="checkbox"/> 10-25 lacs	<input type="checkbox"/> >25 Lacs-1Crore	<input type="checkbox"/> >1 Crore
Occupation Details [Please tick (✓)]	<input type="checkbox"/> Service	<input type="checkbox"/> Private Sector	<input type="checkbox"/> Public Sector	<input type="checkbox"/> Government Service	<input type="checkbox"/> Student	<input type="checkbox"/> Professional
	<input type="checkbox"/> Housewife	<input type="checkbox"/> Business	<input type="checkbox"/> Retired	<input type="checkbox"/> Agriculture	<input type="checkbox"/> Proprietorship	<input type="checkbox"/> Others (please specify)

FATCA INFORMATION (3rd Applicant/Non-Individual)

Country of Birth/Registration	Country of citizenship / Nationality: <input type="checkbox"/> India <input type="checkbox"/> USA	Other (please specify)
Country of Tax Residency: <input type="checkbox"/> India <input type="checkbox"/> USA	Other (please specify)	Tax Reference Number
Politically Exposed Person (PEP) Status (Also applicable for authorised signatories/Promoters/Karta/Trustee/Whole time Directors) <input type="checkbox"/> I am PEP <input type="checkbox"/> I am Related to PEP <input type="checkbox"/> N/A		

4 DEMAT ACCOUNT DETAILS OF FIRST APPLICANT (Refer Instruction No IV) (Optional)

<input type="checkbox"/> NSDL please <input checked="" type="checkbox"/>	Depository Participant (DP) ID	Beneficiary Account Number
<input type="checkbox"/> CDSL please <input checked="" type="checkbox"/>	Depository Participant (DP) ID	

5 BANK ACCOUNT DETAILS (Refer Instruction No V) MANDATORY for Redemption / Dividend / Refunds, if any

A/c Type please (✓) <input type="checkbox"/> SB <input type="checkbox"/> Current <input type="checkbox"/> NRO <input type="checkbox"/> NRE	A/c Number	
Bank Name	Branch	City
PIN Code	11 Digit IFSC Code	9 Digit MICR Code
If MICR and IFSC code for Redemption/Dividend/ Payout is available all payouts will be automatically processed as Electronic Payout - RTGS/NEFT/Direct Credit/NECS.		

6 INVESTMENT & PAYMENT DETAILS (Separate Application Forms are required for investment in each Plan / Option) (Refer Instruction No VI) PAYMENT BY CASH IS NOT PERMITTED. Cheque should be made in favour of Scheme Name.

☐ LUMPSUM ☐ SIP (Please also fill attached SIP Registration Cum Auto Debit Form)

Scheme Name: Indiabulls

PLAN: ☐ Direct Plan ☐ Existing/ Regular Plan OPTIONS: ☐ Growth ☐ Dividend (☐ Payout ☐ Reinvestment) (Frequency:)

LUMPSUM	Payment Mode: Please (✓) <input type="checkbox"/> Cheque <input type="checkbox"/> DD <input type="checkbox"/> RTGS <input type="checkbox"/> NEFT <input type="checkbox"/> Fund Transfer	
	Cheque / DD / RTGS / NEFT/FT No.	Cheque / DD / RTGS / NEFT Date
	Amount in ₹ (Figures)	Amount in ₹ (words)
	Source Bank Name	Source Branch
	Source Bank A/C No.	Account Type <input type="checkbox"/> Savings <input type="checkbox"/> Current <input type="checkbox"/> NRE <input type="checkbox"/> NRO <input type="checkbox"/> FCNR
	Cheque Issuer Name	In case the cheque is issued by a person other than the investor
	Document attached in the case of third party payments	<input type="checkbox"/> Proof / Bank Certificate for DD <input type="checkbox"/> Third Party Declarations

7 NOMINATION Mandatory for single mode of holding (Please ✓, Refer Instruction No VIII)

☐ I / We wish to nominate ☐ I / We do not wish to nominate
I / We do hereby nominate the person(s) more particularly described here under / and* / cancel the nomination made by me / us.

Name & Address of the Nominee(s)	Name & Address of Guardian <i>Incase Nominee is a Minor</i>	Date of Birth (Minor)	Proportion(%) by which the units will be shared by each Nominee <i>(Should aggregate to 100%)</i>	Signature of Nominee / Guardian of Nominee (Optional)

Sole / 1st Applicant / Guardian / Authorised Signatory/POA

2nd Applicant / Authorised Signatory/POA

3rd Applicant / Authorised Signatory/POA

8 DECLARATION

I/We would like to invest in subject to terms of the Statement of Additional Information (SAI), Scheme Information Document (SID), Key Information Memorandum (KIM) and subsequent amendments thereto. I/We have read, understood (before filling application form) and is/are bound by the details of the SAI, SID & KIM including details relating to various services. I/We have not received nor been induced by any rebate or gifts, directly or indirectly, in making this investment. I / We declare that the amount invested in the Scheme is through legitimate sources only and is not designed for the purpose of contravention or evasion of any Act / Regulations / Rules / Notifications / Directions/PMLA/KYC/FATCA Norms or any other Applicable Laws enacted by the Government of India or any Statutory Authority. I accept and agree to be bound by the said Terms and Conditions including those excluding / limiting Indiabulls Mutual Fund Limited (IBMF) liability. I understand that the IBMF may, at its absolute discretion, discontinue any of the services completely or partially without any prior notice to me. In event "Know Your Customer" process is not completed by me /us to the satisfaction of the mutual fund. I/We hereby authorize IBMF, to redeem the funds invested in the scheme, in favour of the applicant, at the applicable NAV prevailing on the date of such redemption and undertake such other action with such funds that may be required by the law. I/We hereby declare that I/we do not have any existing Micro SIP's which together with the current application will result in a total investments exceeding Rs 50000/- in a financial year. The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us. I hereby declare that the above information is given by the undersigned and particulars given by me/us are correct and complete.

I/ We hereby confirm that I/ We have not been offered / communicated any indicative portfolio and / or any indicative yield by IBMF / Its Distributor. I/ We confirm that I am/We are not resident(s) of United States under the laws of United States or resident(s) of Canada. In case of change to this status, I/ We shall notify IBMF, in which event the IBMF reserves the right to redeem my/our investments in the Scheme(s).

APPLICABLE FOR NRI INVESTORS ONLY: I confirm that I am resident of India. I/We confirm that I am/We are Non-Resident of Indian Nationality/Origin and I/We hereby confirm that the funds for subscription have been remitted from abroad through normal banking channels or from funds in my/our Non-Resident External/Ordinary Account/FCNR Account. I/We undertake that all additional purchases made under this folio will also be from funds received from abroad through approved banking channels or from funds in my/our NRE/FCNR Account. I am /We are not prohibited from accessing capital markets under any order/ruling/judgment etc., of any regulation, including SEBI. I/We confirm that my application is in compliance with applicable Indian and foreign laws. I/We will redeem my/our entire investment/s before I/We change my/our Indian residency status. I/We shall be fully liable for all consequences (including taxation) arising out of the failure to redeem on account of change in residential status.

Sole/1st Applicant/Guardian/Authorised Signatory/POA

2nd Applicant/Authorised Signatory/POA

3rd Applicant/Authorised Signatory/POA

Please address all future communications in connection with this application to the Registrar and Transfer Agent of the scheme:

Karvy ComputerShare Pvt. Ltd.,
'KARVY CENTRE' 8-2-609/K,
Avenue 4, Street No. 1,
Banjara Hills,
Hyderabad – 500 034
Landline numbers : 040-67406120 / 040-67406121

Indiabulls Asset Management Company Ltd.
Indiabulls House,
Indiabulls Finance Centre, 11th Floor,
Senapati Bapat Marg, Elphinstone (West),
Mumbai - 400 013.
Email ID: customercare@indiabullsamc.com