

COMMON APPLICATION FORM

Peerless
MUTUAL FUND

Please refer to the instructions while filling the Application Form. Tick whichever is applicable.

1	ARN CODE	Employee Unique Identification Number (EUIN)*	SUB-BROKER CODE / AGENT CODE	DATE & TIME OF RECEIPT
				FOR OFFICE USE ONLY

*I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this is an "execution-only" transaction without any interaction or advice by the employee/relationship manager/sales person of the above distributor or notwithstanding the advice of inappropriateness, if any, provided by the employee/relationship manager/sales person of the distributor and the distributor has not charged any advisory fees on this transaction".

Sole /1st Applicant/Guardian/Authorised Signatory/POA Holder

2nd Applicant/Authorised Signatory/POA Holder

3rd Applicant/Authorised Signatory/POA Holder

2 TRANSACTION CHARGES FOR APPLICATIONS THROUGH DISTRIBUTORS/AGENTS ONLY (Please tick any one of the below)

I confirm that I am a First Time Investor in Mutual Funds
(Rs. 150/- will be deducted as transaction charges for transaction of Rs. 10,000/- and more)

OR I confirm that I am an Existing Investor in Mutual Funds
(Rs. 100/- will be deducted as transaction charges for transaction of Rs. 10,000/- and more)

In case the purchase/subscription amount is Rs.10,000/- or more and your AMFI Registered Distributor has chosen 'opt in' option of charging Transaction Charges to their investor, the same are deductible as applicable from the purchase/subscription amount and payable to the distributor, Units will be issued against the balance amount invested.(refer General Information Point No. 11)

3 EXISTING INVESTOR INFORMATION (If you have existing folio please fill in sections 3,6,9,11,12 and 17)

Unit Holding Options

Demat Mode

Physical Mode

Folio Number

4 DEMAT ACCOUNT DETAILS (Please ensure that the sequence of names as mentioned in the application form matches with that of the account held in depository participant. Demat Account details are compulsory, if demat mode is opted above.)

NSDL Depository Participant Name

Enclosures

CDSL DP ID Number

Client Master List

Delivery Instruction Slip

Beneficiary Account Number

Transaction Cum Holding Statement

5 NEW INVESTOR INFORMATION (To be filled in Block Letters, please leave one box blank between two words)

NAME OF FIRST/SOLE APPLICANT

Mr. Ms. M/s.

PAN/PERN #

KYC Proof #

Date of Birth/Date of Incorporation

D D M M Y Y

Father's Name/Name of Guardian (in case of Minor) / Contact Person (in case of non individual applicant) Mr. Ms.

PAN/PERN #

KYC Proof #

Relationship with Minor/Designation

MANDATORY

Mailing Address of First/Sole Applicant (PO Box address is not sufficient)

City

State

Country

Pin Code

Overseas Address (Mandatory in case of NRI/FII.PO Box address is not sufficient. Investors residing overseas and with PO Box address please provide your Indian address)
"All Non Individual Investors have to mandatorily fill UBO Declaration form"

Overseas Address

Country

6 FIRST/SOLE APPLICANT OTHER DETAILS

Telephone

Mobile

Email

Mode of Holding

Single

Joint

Anyone or Survivor (s)(Default option in case of more than one Applicant)

Occupation
(of first/sole Applicant)

Business Professional House Wife Agriculture Service Student Retired Others

Status
(of first/sole Applicant)

Resident Individual Sole Proprietorship Society/Club Company NRI Reparable Trust HUF

Partnership Firm On Behalf of Minor Bank/Financial Institution NRI Non-Reparible (NRO) Others

Gross Annual Income

Below 1 Lac 5 - 10 Lacs >25 Lacs - 1 Crore

Net-worth

1 - 5 Lacs 10 - 25 Lacs >1 Crore

(Mandatory for Non-Individuals) Rs. _____ as ON (Not older than 1 year)

D D M M Y Y

Politically Exposed Person (PEP) Status (Also applicable for authorised signatories/ Promoters/ Karta/ Trustee/ Whole time Directors) I am PEP I am Related to PEP Not Applicable

Non - Individual Investors involved/ providing any of the mentioned services

Foreign Exchange / Money Changer Services Money Lending / Pawning

Gaming / Gambling / Lottery / Casino Services None of the Above

Please attach proof. Refer instructions page point XII - PAN/PERN and KYC

Acknowledgement Slip (To be filled in by the investor)

Peerless
MUTUAL FUND

Received from Mr./Ms./M/s.

Collection Centre's Stamp & Receipt
Date and Time

An application for Scheme: _____ Plan: _____ Option: _____

Cheque/DD No. : _____ Dated : _____ Amount (Rs.) _____

Drawn on Bank and Branch : _____

Please note : All Purchases are subject to realisation of Cheques/DD.



Web site www.peerlessmf.co.in



Toll Free : 1800 103 8999
Non Toll Free : 022 61779922



connect@peerlessmf.co.in

7 JOINT APPLICANT DETAILS

a NAME OF SECOND APPLICANT		<input type="checkbox"/> Mr. <input type="checkbox"/> Ms.											
PAN/PERN #		<input type="checkbox"/> KYC Proof #			Date of Birth/Date of Incorporation		D	D	M	M	Y	Y	
Gross Annual Income		<input type="checkbox"/> Below 1 Lac <input type="checkbox"/> 5 - 10 Lacs <input type="checkbox"/> >25 Lacs - 1 Crore <input type="checkbox"/> 1 - 5 Lacs <input type="checkbox"/> 10 - 25 Lacs <input type="checkbox"/> >1 Crore	Politically Exposed Person (PEP) Status (Also applicable for authorised signatories/ Promoters/ Karta/ Trustee/ Whole time Directors)			<input type="checkbox"/> I am PEP <input type="checkbox"/> I am Related to PEP <input type="checkbox"/> Not Applicable							

Father's Name

Occupation (of first/sole Applicant)	<input type="checkbox"/> Business <input type="checkbox"/> Professional <input type="checkbox"/> House Wife <input type="checkbox"/> Agriculture <input type="checkbox"/> Service <input type="checkbox"/> Student <input type="checkbox"/> Retired <input type="checkbox"/> Others
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b NAME OF THIRD APPLICANT

PAN/PERN #		<input type="checkbox"/> KYC Proof #			Date of Birth/Date of Incorporation		D	D	M	M	Y	Y	
Gross Annual Income		<input type="checkbox"/> Below 1 Lac <input type="checkbox"/> 5 - 10 Lacs <input type="checkbox"/> >25 Lacs - 1 Crore <input type="checkbox"/> 1 - 5 Lacs <input type="checkbox"/> 10 - 25 Lacs <input type="checkbox"/> >1 Crore	Politically Exposed Person (PEP) Status (Also applicable for authorised signatories/ Promoters/ Karta/ Trustee/ Whole time Directors)			<input type="checkbox"/> I am PEP <input type="checkbox"/> I am Related to PEP <input type="checkbox"/> Not Applicable							

Father's Name

Occupation (of first/sole Applicant)	<input type="checkbox"/> Business <input type="checkbox"/> Professional <input type="checkbox"/> House Wife <input type="checkbox"/> Agriculture <input type="checkbox"/> Service <input type="checkbox"/> Student <input type="checkbox"/> Retired <input type="checkbox"/> Others
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8 Power of Attorney (POA)

NAME OF POA		<input type="checkbox"/> Mr. <input type="checkbox"/> Ms. <input type="checkbox"/> M/s.										
PAN/PERN #		<input type="checkbox"/> KYC Proof #			Date of Birth		D	D	M	M	Y	Y

9 *FATCA INFORMATION/ FOREIGN TAX LAWS (For Individual including Sole Proprietor) (For Non-individual, mandatory to fill up UBO form) (Refer instruction)

The below information is required for all applicant(s)/ guardian

Address Type: Residential or Business Residential Business Registered Office

(Address of tax residence would be taken as available in KRA database. In case of any changes please approach KRA & notify the changes)

Is the applicant(s)/ guardian's Country of Birth / Citizenship / Nationality / Tax Residency other than India? (to be filled mandatorily) Yes No

If Yes, please provide the following information [mandatory]

Please indicate all countries in which you are resident for tax purposes and the associated Tax Reference Numbers below.

Category	First Applicant (Including Minor)	Second Applicant/ Guardian	Third Applicant
Place/ City of Birth			
Country of Birth			
Country of Tax Residency 1 ^			
Tax Identification No *			
Identification Type (TIN or other, please specify)			
Country of Tax Residency 2 ^			
Tax Identification No *			
Identification Type (TIN or other, please specify)			
Country of Tax Residency 3 ^			
Tax Identification No *			
Identification Type (TIN or other, please specify)			

I / We have understood the information requirements of this form (read along with the FATCA & CRS Instructions) and hereby confirm that the information provided by me/us on this Form is true, correct and complete. I/We also confirm that I / We have read and understood the FATCA & CRS Terms and Conditions and hereby accept the same.

^ To also include USA, where the individual is a citizen/green card holder of the USA.

* In case Tax Identification Number is not available, kindly provide its functional equivalent.

Please attach proof. Refer instructions page point XII - PAN/PERN and KYC

Web site www.peerlessmf.co.inToll Free : 1800 103 8999
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COMMON APPLICATION FORM

10 *BANK ACCOUNT DETAILS (Please attach copy of cancelled cheque) For registering Multiple Bank Accounts please fill up "Registration of Multiple Bank Account" Form

Name of the Bank :		Branch:	
Account Type (Please <input checked="" type="checkbox"/> SB <input type="checkbox"/> Current <input type="checkbox"/> NRO <input type="checkbox"/> NRE <input type="checkbox"/> FCNR)		Account Number :	
Branch Address :		City: Pin:	
IFSC Code :		MICR Code :	

AMC reserves the right to use any mode of payment deemed appropriate. I/We understand that AMC shall not be responsible if transaction through DC/RTGS/NEFT could not be carried out because of incomplete or incorrect information.

11 *INVESTMENT DETAILS I/We would like to invest in the following scheme of Peerless Mutual Fund Scheme :

Scheme :Peerless	Plan	<input type="checkbox"/> Regular	<input type="checkbox"/> Direct
Option <input type="checkbox"/> Growth <input type="checkbox"/> Dividend	Sub-Option	<input type="checkbox"/> Dividend Payout	<input type="checkbox"/> Dividend Reinvestment (default)

In case of any ambiguity / incomplete information, the default plan / option / sub-option will be applicable as per the scheme's Key Information Memorandum, Scheme Information Document & Statement of Additional Information. Please see the Plan, Option and Dividend policy details in the SID/KIM before filling in the above details.

Dividend Frequency Daily Weekly Monthly Quarterly

12 *PAYMENT DETAILS (In case of DD, please provide us specific declaration)

Mode of Payment <input type="checkbox"/> Cheque <input type="checkbox"/> DD <input type="checkbox"/> Fund Transfer <input type="checkbox"/> Others	Please specify										
Cheque/DD No.	Date D D M M Y Y Y Y										
Gross Amount (Rs)	DD Charges (Rs)	Net Amount (Rs)									
Drawn on Bank & Branch	Account Type <input type="checkbox"/> SB <input type="checkbox"/> Current <input type="checkbox"/> NRO <input type="checkbox"/> NRE <input type="checkbox"/> FCNR										

13 SYSTEMATIC INVESTMENT PLAN (SIP) PAYMENT TYPES (Please select any one option)

SIP through Post Dated Cheques (Please fill & submit with this attached form) SIP through Auto Debit (ECS) (Please fill up enclosed SIP Auto Debit (ECS) Form & submit with this form)

14 NOMINATION DETAILS (Please refer to Instructions page, point no VII) In case of existing investor, nomination details mentioned in the below table will replace the existing details registered in the folio

Nomination Required <input type="checkbox"/> YES <input type="checkbox"/> NO	Relationship with Nominee	Date of Birth of Minor	Guardian Name (in case Nominee is Minor)	Allocation (%)	Sign of Guardian	Sign of Nominee	Sign of Applicants
							1st App.
							2nd App.
							3rd App.

Please note that if you do not furnish any nomination details, it is deemed to be assumed that you do not wish to nominate anyone.

15 HOW DO YOU WISH TO RECEIVE THE DOCUMENT(S) (Please)

I/We wish to receive the following documents via email in lieu of physical document (s) I/We wish to receive the Account Statement in (any one)
 Annual Reports Account Statement Other Statutory Information English (Default option) Bengali Malayalam

16 DOCUMENTS ENCLOSED (Please)

<input type="checkbox"/> Resolution/Authorisation to invest	<input type="checkbox"/> List of Authorized Signatories with Specimen Signatures	<input type="checkbox"/> Memorandum & Articles of Association			
<input type="checkbox"/> Trust Deed	<input type="checkbox"/> Bye-laws	<input type="checkbox"/> Partnership Deed	<input type="checkbox"/> Overseas Auditor Certificate	<input type="checkbox"/> Notarised POA	<input type="checkbox"/> Copy of cancelled cheque
<input type="checkbox"/> Copy of PAN Card	<input type="checkbox"/> KYC	<input type="checkbox"/> PIO Card	<input type="checkbox"/> Foreign Inward Remittance Certificate	<input type="checkbox"/> Special Product Form (SIP / STP / SWP / AEP)	

17 *DECLARATION AND SIGNATURES

I/We have read and understood the contents of the Statement of Additional Information and Scheme Information Document of the Scheme (s). I/We hereby apply for units of the scheme as indicated above and agree to abide by the terms and conditions, rules and regulations of the Scheme and to other statutory requirements of SEBI/AMFI, Prevention of Money Laundering Act, 2002 and such other regulations as may be applicable from time to time. I/We confirm to have understood the investment objective, investment pattern and risk factors applicable to Plan/Option under the Scheme (s). I/We agree that in case of my/our investment in the scheme is equal to or more than 25% of the corpus of the scheme, then Peerless Funds Management Co. Ltd. has full right to refund the excess to me/us to bring my/our investment below 25%. I/We have not received nor been induced by any rebate or gifts, directly or indirectly in making this investments. I/We undertake that these investments are on my/our own account and in event Know Your Customer process is not completed by me/us to the satisfaction of the Mutual Fund, I/We hereby authorise the Mutual Fund to redeem the funds invested in the scheme, in favour of the applicant at the applicable NAV prevailing on the date of such redemption and undertake such other action with such funds that may be required by the law. I/We declare that the amount invested in the Scheme is through legitimate sources only and is not designed for the purpose of contravention or evasion of any Act, Regulation or any other applicable law enacted by the Government of India or any Statutory Authority. I/We hereby declare that the particulars above are correct. I/We hereby, further agree that the Fund can directly credit all the dividend and redemption amount to my bank details given above. The ARN holder has disclosed to me/us all the commission (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us. For NRIs : I/We confirm that I am/We are Non-resident of Indian Nationality/Origin and I/We hereby confirm that the funds for subscription have been remitted from abroad through approved banking channels or from my/our Non-resident External/Ordinary Account/FCNFI/NRSR Account.

Sole/1st applicant/Guardian/Authorised Signatory/POA Holder

2nd Applicant/Authorised Signatory/POA Holder

3rd Applicant/Authorised Signatory//POA Holder

All fields marked with * are mandatory

CHECKLIST (Please submit the following documents with application wherever applicable). All documents should be original/true copies certified by a Director/Trustee/Company Secretary/Authorised Signatory/Notary Public.

Documents	Individual	Companies	Societies	Partnership Firm	Investment through POA	Trust	NRI	FII
Resolution/Authorisation to invest		✓	✓	✓		✓		✓
List of Authorised Signatories with Specimen Signatures	✓	✓	✓	✓	✓	✓		✓
Memorandum & Articles of Association	✓							
Trust Deed							✓	
Bye-laws				✓				
Partnership Deed				✓				
Notarised POA					✓			
PAN/PERN Proof	✓	✓	✓	✓	✓	✓	✓	✓
KYC in case of Investment of any Amount	✓	✓	✓	✓	✓	✓	✓	✓
Foreign Inward Remittance Certificate							✓	✓
Copy of Cancelled Cheque	✓	✓	✓	✓	✓	✓	✓	✓
Ultimate Beneficial Ownership (UBO)		✓	✓	✓	✓	✓		✓

INSTRUCTIONS TO INVESTORS FOR FILLING UP THE APPLICATION FORM

I. GENERAL INFORMATION

1. Please read the Statement of Additional Information, Scheme Information Document (SID) and Key Information Memorandum (KIM) carefully before investing. All applicants are deemed to have accepted the terms subject to which this offer is being made and bind themselves to the terms upon signing the Application Form and tendering payment.
2. Application Form should be filled legibly in English in BLOCK LETTERS so as to avoid errors in your application processing.
3. Correction/Cancellation of any of the mandatory information should be counter signed by the investor. Please strike out any section which is not applicable. Refer to the checklist to ensure that the requisite details and documents are provided in order to avoid unnecessary delays and/or rejection of your application.
4. The Application No./Folio No., the Scheme name and the name of the applicant should be mentioned on the reverse side of the instrument that accompanies the application.
5. Application Form incomplete in any respect or not accompanied by a Cheque/Demand Draft are liable to be rejected and the money paid will be refunded without interest.
6. All communications and payments shall be made to the First Applicant only irrespective of the holding basis.
7. The right to accept or reject any application in the whole or in part lies with the Peerless Funds Management Co. Ltd. (AMC)/Peerless Trust management Co. Ltd. (Trustee)/Peerless Mutual Fund.
8. The investors who wish to avail Systematic Investment Plan (SIP), Systematic Transfer Plan (STP), Systematic Withdrawal Plan (SWP) and Automatic Encashment Plan (AEP) facility must use separate Common Transaction Slips available in any of the Peerless Mutual Fund - Customer Service Centres.
9. The application complete in all respects along with the cheque/fund transfer instructions must be submitted to the nearest designated Investor Service Centre/Collection Centre.
10. In case of non-liquid schemes units allotment are subject to realization of cheques & DD's if amount is Rs.2 lac and above.
11. Transaction Charges: In accordance with SEBI circular No. Cir/IMD/DF/13/2011 dated August 22, 2011, Peerless Funds Management Co. Ltd. (AMC)/Peerless Mutual Fund will deduct Transaction Charges from the purchase/ subscription amount received from the investors investing through a valid AMFI Registered Distributor (provided the Distributor has opted to receive the Transaction Charges). Transaction Charge of Rs. 150/- (for a first time investor across mutual funds) or Rs. 100/- (for existing investor across mutual funds) per purchase/subscription of Rs. 10,000/- and above are deductible from the purchase/subscription amount and payable to the Distributor. The balance amount shall be invested. Transaction Charges in case of investments through SIP are deductible only of the total commitment of investment (i.e.) amount per SIP installment multiplied by No. of installments amounts to Rs. 10,000/- or more, in such cases Transaction Charges shall be deducted in 3-4 installments. Transaction Charges shall not be deducted a) where the distributor of the investor has not opted to receive any Transaction Charges, b) for purchases/subscription /total commitment amount in case of SIP of an amount less than Rs. 10,000/-, c) for transactions other than purchases/subscriptions relating to new inflows i.e. through Switches/Systematic Transfers/ Dividend Transfers/Dividend Reinvestment etc. and d) For purchases/subscriptions made directly with the Fund (i.e. not through any Distributor) e) for purchases/subscriptions routed through Stock Exchange(s). First/Sole Applicant/Guardian should indicate whether he is a first time investor for across Mutual Funds or an existing investor in the appropriate box provided for this purpose. AMC/Mutual Fund will endeavour to identify the investor as first time or existing based on Permanent Account No.(PAN) at the First/Sole/Applicant/Guardian level. If the PAN details are available then First/Sole applicant/Guardian will be treated as existing investor else first time. However if an investor has not ticked any option, he will be considered as an existing investor.

II. APPLICANT INFORMATION

1. Name should be given in full without any abbreviations. Preferably write exactly as it appears in your Bank Account or as it appears in the incorporation document as the case may be.
2. Guardian name and date of birth of the Minor is mandatory for investment on behalf of Minor applicant. Age proof of minor is mandatory. Upon attaining majority a minor has to write to the fund giving his specimen signature duly authenticated by his banker as well as his new bank mandate, PAN details, KYC in order to facilitate the Fund to update its records and permit the erstwhile minor to operate the account in his own right.
3. Name of the Contact Person, Email and Telephone no. should be mentioned in case of investments by Company, Body Corporate, Trust, Society, FII and other non-individual applicants.
4. Applicant should specify the mode of holding. In case it is not mentioned, the default will be "anyone or survivor".
5. In case of NRI investment, complete postal address should be stated. P.O. Box address alone is not sufficient. NRIs/FII's should necessarily state their overseas address failing which application may be rejected. In addition, Indian address should be stated for correspondence.
6. To help us service you better please provide email Id and mobile number.
7. In case of Minor Investor proper third party declaration should be submitted by donor.

III. BANK DETAILS

Applicants are requested to mention the bank account, since the same is mandatory as per the directives issued by SEBI. Application without this information will be deemed to be incomplete and are liable for rejection. Investor should submit a copy of a cancelled cheque to verify details.

IV. INVESTMENT DETAILS

Investors should indicate the Plan/Option for which the application is made. In case Investors wish to choose both the Options, separate Application form will have to be filled. In case applications are received where Plan/Option/ Sub-option/Dividend frequency is not selected the default options will be considered.

V. PAYMENTS

1. The Fund Names are Peerless Liquid Fund, Peerless Ultra Short Term Fund, Peerless Short Term Fund.
2. Resident Investors may make payment by cheque payable locally in the city where the application form is submitted at AMC/Karvy ISC's.
3. The cheque should be drawn in favour of "Scheme name" and should be crossed 'Account Payee Only'.
4. The cheque should be drawn on any bank which is situated at and is a member/ sub member of the bankers clearing house. Cheque drawn on the bank not participating in the clearing house will not be accepted.
5. The following mode of payments are not valid and applications accompanied by such payments are liable to be rejected: i) Money Order/Post Order, ii) Postdated Cheques iii) Third Party Cheques without third party declaration.
6. Bank charges for outstation DD will not be borne by AMC for Peerless Liquid Fund, Peerless Ultra Short Term Fund & Peerless Short Term Fund.
7. Returned cheques will not be presented again for collection and the accompanying application will be rejected.
8. Single cheque for investments in multiple schemes will not be accepted.
9. In case of payment through electronic mode (RTGS/NEFT or Transfer Letter), contact the nearest AMC/Karvy ISC for the Bank Account Number to which the purchase/additional purchase amount is to be credited also while submission of a purchase form please provide the bank acknowledgement copy along with purchase application.
10. If the scheme name on the application form and cheque is different, then the units will be allotted as per the scheme name mentioned on the application.
11. NRI /FII's Repatriation basis: Payments by NRIs/FII's may be made by way of cheques drawn on non-resident external accounts payable at par and payable at the cities where the Investor Service Centers are located. Non-Repatriation basis:- NRIs investing on a non repatriable basis may do so by issuing cheques drawn on Non-Resident Ordinary (NRO) account payable at the cities where the Investor Service Centers are located.

VI. PAYMENT OF REDEMPTION /DIVIDEND

Investors are requested to provide the following details of bank account i.e. Name of the bank, branch address, account type and account no., the 11 digit IFSC Code (Indian Financial System) in the application form. Based on these information AMC will enable secure transfer of your redemption and dividend payouts via the various electronic mode of transfers (RTGS/NEFT/ Direct Credit mode that are available in the banking system). We are currently offering Direct Credit facility with HDFC Bank Ltd. If Investor is having bank account with HDFC bank, he/she will receive Redemption/ Dividend proceeds via Direct Credit only to their respective bank accounts. The Mutual Fund, however, reserves the right to issue a Cheque/Demand Draft to unit holders residing at locations where this facility is not available. If the remittance is delayed or not affected for reasons of incomplete or incorrect information, AMC cannot be held responsible.

VII. NOMINATION DETAILS

1. Nomination shall be mandatory for new folios/accounts opened by individuals especially with sole holding and no new folios/accounts for individuals in single holding will be opened without nomination. Applicants applying for Units singly/jointly can make a nomination at the time of initial investment or during subsequent investments.
2. The nomination can be made only by individuals applying for /holding units on their own singly or jointly. Non-individuals including society, trust (other than a religious or charitable trust), body corporate, partnership firm, Karta of Hindu Undivided Family, holder of Power of Attorney cannot nominate. If the units are held jointly, all joint holders will have to sign the nomination form.
3. A minor can be nominated and in that event, the name and address of the guardian of the minor nominee shall be provided by the Unit Holder. Nomination can also be made in favour of the Central Government, State Government, a local authority, any person designated by virtue of his office or a religious or charitable trust.
4. A Non-Resident Indian can be a Nominee subject to the exchange control regulations in force, from time to time.
5. Nomination in respect of the units stands rescinded upon the redemption/transfer/transmission of units.
6. Transmission of units in favour of a Nominee shall be a valid discharge by the Asset Management Company (AMC) against the legal heir.
7. Investor can nominate maximum three nominees.
8. The cancellation of nomination can be made only by those individuals who hold units on their own behalf singly or jointly and who made the original nomination. On cancellation of the nomination, the nomination shall stand rescinded and the AMC/ Fund/ Trustees shall not be under any obligation to transmit the units in favour of the Nominee.
9. If you do not furnish any nomination details, it is deemed to be assumed that you do not wish to nominate anyone.
10. Nomination will not be allowed in a folio held on behalf of a minor.

VIII. DECLARATION AND SIGNATURES

1. Signatures can be in English or in any Indian language. Thumb impressions must be attested by a Magistrate/Notary Public under his/her official seal. In case of HUF, the Karta will sign on behalf of the HUF. Similarly, for the Association of Persons (AOP) the application must be signed by the Authorised Signatory. Applications on behalf of minors should be signed by their Guardian.
2. If the application form is signed by a Power of Attorney (POA) holder, the form should be accompanied by a notarised photocopy of the POA. Alternatively, the original POA can be submitted, which will be returned after verification. The signature of the investor and the POA holder has to be clearly captured in the POA document to be accepted as a valid document.
3. In case of corporate or any non-individual investors, a list of authorised signatories should be submitted along with the application form.

IX. EMAIL COMMUNICATION

Account Statements / Newsletters / Annual Reports / Other statutory information (as may be permitted under SEBI (Mutual Funds) Regulations, 1996) can be sent to each unit holders by courier/post/email. Unit holders who have opted to receive these documents by email will be sent all documents by email only and no physical documents will be sent. Should the Unit holder experience any difficulty in accessing the electronically delivered documents, the Unit holder may request the fund for delivery of the same through alternate means. It is deemed that the Unit holder is aware of the security risks including possible third party interception of the documents.

X. E-ALERTS

This facility enables the Unit holder to receive SMS confirmation for purchase, redemption, switch, dividend declaration.

XI. DIRECT PLAN

In compliance with SEBI circular no.CIR/IMD/DF/21/2012 the 'Direct Plans' have been introduced in all the eligible schemes of Peerless Mutual Fund along with the 'Regular Plan', effective January 01, 2013. The Direct Plan is only for investors who purchase /subscribe Units in a Scheme directly with the Fund and is not available for investors who route their investments through a Distributor. All Plans / Options / Sub-Options offered under the Schemes of Existing Plan will also be available for subscription under the 'Direct Plan'. For details please refer to the table on Scheme options. Accordingly, investors subscribing under Direct Plan of Peerless Liquid Fund will have to indicate the Scheme / Plan name in the application form as "Peerless Liquid Fund - Direct Plan". Investors should also indicate "Direct" in the ARN column. In case Distributor code is mentioned on the application form, the Distributor code will be ignored and no commission will be paid to the distributor. Direct Plan shall have a lower expense ratio excluding distribution expenses, commission, etc and no commission for distribution of Units will be paid / charged under Direct Plan.

XII. ANTI MONEY LAUNDERING (AML) REGULATIONS

Details for compliance with Anti Money Laundering (AML) regulations Prevention of Money Laundering Act, the SEBI Circulars on Anti Money Laundering and the Client Identification implementation procedures prescribed by AMFI inter alia require the AMC to verify the records of identity and address(es) of investors. To ensure adherence to these requirements, investors are required to approach Points of Service (POS) (list of POS available on www.amfiindia.com) appointed by any of the KYC Registration Agency and submit documents for completion of appropriate KYC checks. The Mutual Fund website also prescribes the list of documents that can be submitted by investors to the POS to get their KYC checks completed. It is mandatory for all investors (including joint holders, NRIs, POA holders and guardians in the case of minors) to furnish such documents and information as may be required to comply with the Know Your Customers (KYC) policies under the AML Laws. Applications without such documents and information may be rejected. In terms of SEBI circulars dated April 27, 2007, April 03, 2008 and June 30, 2008 read with SEBI letter dated June 25, 2007, Permanent Account Number (PAN) would be the sole identification number for all participants transacting in the securities market, irrespective of the amount of transaction, except (a) investors residing in the state of Sikkim; (b) Central Government, State Government, and the officials appointed by the courts e.g. Official liquidator, Court receiver etc. (under the category of Government) and (c) investors participating only in micro-pension. SEBI, in its subsequent letters dated June 19, 2009 and July 24, 2012 has conveyed that Systematic Investment Plans (SIP) and lumpsum investments (both put together) per mutual fund up to Rs.50,000/- per year per investor shall be exempted from the requirement of PAN. Accordingly, investments in Peerless Mutual Fund (including SIP investment where the aggregate of SIP installments in a rolling 12 months period or in a financial year i.e April to March) of upto Rs 50,000/-per investor per year shall be exempt from the requirement of PAN. However, eligible Investors (including joint holders) should comply with the KYC requirement through registered KRA by submitting photo identification documents as proof of identification and the Proof of Address [self-attested by the investor / attested by the ARN Holder/AMFI distributor]. These exempted investors will have to quote the "PERN (PAN exempt KYC Ref No) in the application form. This exemption of PAN will be applicable only to investments by individuals (including NRIs but not PIOs), joint holders, Minors and Sole proprietary firms. PIOs, HUFs and other categories of investors will not be eligible for this exemption. Thus, submission of PAN is mandatory for all other investors existing as well as prospective investors (except the ones mentioned above) (including all joint applicants/holders, guardians incase of minors, POA holders and NRIs but except for the categories

INSTRUCTIONS TO INVESTORS FOR FILLING UP THE APPLICATION FORM

mentioned above) for investing with mutual funds from this date. Investors are required to register their PAN with the Mutual Fund by providing the PAN card copy (along with the original for verification which will be returned across the counter). The procedure implemented by the AMC and the decisions taken by the AMC in this regard shall be deemed final. After completion of KYC compliance, investors need to approach KRA for Change of Address and not Registrar (KARVY). In respect of KYC compliant Folio, prospective Change of Address received along with transaction slip will not be processed by Registrar (KARVY). The AMC reserves the right to reject subscription requests in the absence of appropriate compliance with the AML Laws. In line with SEBI circular No. MIRSD/Cir-5/2012 dated April 13, 2012 and various other guidelines issued by SEBI on the procedural matters for KYC Compliances, the following additional provisions are applicable effective December 1, 2012:

1. All investors who have opened accounts with the Intermediaries on or after 1 January 2012 have

been complying with the revised KYC norms with one of the KYC Registration Agencies (KRA). MF investors who had already complied with the KYC-KRA requirements post 1 January 2012, need not undergo any changes.

2. MF investors who had complied with KYC requirements with CVL, prior to 1 January 2012, and wanting to make fresh investments / SIPs with a different MF, will have to submit the revised KRA form with all the relevant documents.
3. MF investors who had complied with KYC requirements with CVL, prior to 1 January 2012, and wanting to make additional investment in the same MF (where they hold investments), with no further requirement for KRA compliance.
4. The above will be applicable for both Individuals and Non-individuals.

XIII LIST OF SCHEMES

PEERLESS LIQUID FUND-DIRECT PLAN-GROWTH	PEERLESS ULTRA SHORT TERM FUND-DIRECT PLAN-MONTHLY DIVIDEND
PEERLESS LIQUID FUND-DIRECT PLAN-DAILY DIVIDEND	PEERLESS ULTRA SHORT TERM FUND-REGULAR PLAN-GROWTH
PEERLESS LIQUID FUND-DIRECT PLAN-WEEKLY DIVIDEND	PEERLESS ULTRA SHORT TERM FUND-REGULAR PLAN-DAILY DIVIDEND
PEERLESS LIQUID FUND-DIRECT PLAN-MONTHLY DIVIDEND	PEERLESS ULTRA SHORT TERM FUND-REGULAR PLAN-WEEKLY DIVIDEND
PEERLESS LIQUID FUND-REGULAR PLAN-GROWTH	PEERLESS ULTRA SHORT TERM FUND-REGULAR PLAN-MONTHLY DIVIDEND
PEERLESS LIQUID FUND-REGULAR PLAN-DAILY DIVIDEND	PEERLESS SHORT TERM FUND-DIRECT PLAN-GROWTH OPTION
PEERLESS LIQUID FUND-REGULAR PLAN-WEEKLY DIVIDEND	PEERLESS SHORT TERM FUND-DIRECT PLAN-MONTHLY DIVIDEND
PEERLESS LIQUID FUND-REGULAR PLAN-MONTHLY DIVIDEND	PEERLESS SHORT TERM FUND-DIRECT PLAN-QUARTERLY DIVIDEND
PEERLESS ULTRA SHORT TERM FUND-DIRECT PLAN-GROWTH OPTION	PEERLESS SHORT TERM FUND-GROWTH
PEERLESS ULTRA SHORT TERM FUND-DIRECT PLAN-DAILY DIVIDEND	PEERLESS SHORT TERM FUND-MONTHLY DIVIDEND
PEERLESS ULTRA SHORT TERM FUND-DIRECT PLAN-WEEKLY DIVIDEND	PEERLESS SHORT TERM FUND-QUARTERLY DIVIDEND

NAME OF THE SCHEMES	PLAN	OPTIONS & SUB-OPTION	DEFAULT OPTION UNDER THE PLAN	DEFAULT DIVIDEND OPTION
PEERLESS LIQUID FUND	REGULAR & DIRECT	GROWTH, DIVIDEND-DAILY,WEEKLY,MONTHLY	GROWTH	DAILY REINVESTMENT
PEERLESS ULTRA SHORT TERM FUND	REGULAR & DIRECT	GROWTH, DIVIDEND-DAILY,WEEKLY,MONTHLY	GROWTH	DAILY REINVESTMENT
PEERLESS SHORT TERM FUND	REGULAR & DIRECT	GROWTH, DIVIDEND- MONTHLY,QUARTERLY	GROWTH	QUARTERLY REINVESTMENT

NAME OF THE SCHEMES	PLAN	MINIMUM APPLICATION AMOUNT	MINIMUM SIP AMOUNT
PEERLESS LIQUID FUND	REGULAR & DIRECT	Rs.1000 AND IN MULTIPLES OF Re.1 THERE AFTER	FORTNIGHTLY/MONTHLY=Rs 500; QUARTERLY=Rs.1000;HALF-YEARLY-Rs.2000
PEERLESS ULTRA SHORT TERM FUND	REGULAR & DIRECT	Rs.1000 AND IN MULTIPLES OF Re.1 THERE AFTER	FORTNIGHTLY/MONTHLY=Rs 500; QUARTERLY=Rs.1000;HALF-YEARLY-Rs.2000
PEERLESS SHORT TERM FUND	REGULAR & DIRECT	Rs.1000 AND IN MULTIPLES OF Re.1 THERE AFTER	FORTNIGHTLY/MONTHLY=Rs 500; QUARTERLY=Rs.1000;HALF-YEARLY-Rs.2000

XIV EUIN No.

Investments through distributors

As per directions of Securities and Exchange Board of India (SEBI), the distributors, agents or any persons employed or engaged or to be employed or engaged in the sale and/or distribution of mutual fund products are required to have a valid certification from the National Institute of Securities Markets (NISM) by passing the certification examination. Further, no agents /distributors are entitled to sell units of mutual funds unless the intermediary is registered with Association of Mutual Funds in India (AMFI).

Employee Unique Identification Number (EUIN): SEBI has made it compulsory for every employee/ relationship manager/ sales person of the distributor of mutual fund products to quote the EUIN obtained by him/her from AMFI in the Application Form. EUIN, particularly in advisory transactions, would assist in addressing any instance of mis-selling even if the employee/relationship manager/ sales person later leaves the employment of the distributor. Individual ARN holders including senior citizens distributing mutual fund products are also required to obtain and quote EUIN in the Application Form. Hence, if your investments are routed through a distributor please ensure that the EUIN is correctly filled up in the Application Form. However, if your distributor has not given you any advice pertaining to the investment, the EUIN box may be left blank. In this case, you are required to provide a duly signed declaration to this effect, as given in the Form. Overseas Distributors: Overseas Distributors are exempt from obtaining NISM certification and

AMFI registration. However, such Overseas Distributors are required to comply with the guidelines/ requirements as may be issued by AMFI /SEBI from time to time and also comply with the laws, rules and regulations of jurisdictions where they carry out their operations in the capacity of distributors.

Direct Investments: Investors applying under Direct Plan must mention "Direct" in ARN column. In case Distributor code is mentioned in the application form, but "Direct Plan" is indicated against the Scheme name, the Distributor code will be ignored and the application will be processed under Direct Plan. In case of valid application received without indicating "Direct Plan" against the Scheme / Plan name and without any Distributor Code mentioned on the form, the application will be processed under "Direct Plan"

XV UNITHOLDERS' INFORMATION

1. Account Statement:

- An allotment confirmation specifying the units allotted shall be sent by way of email and/ or SMS within 5 Business Days of receipt of valid application to the Unit holders registered e-mail address and/or mobile number. Thereafter, a Consolidated Account Statement (CAS) containing details relating to all the transactions carried out by the investor across all schemes of all mutual funds during the month and holding at the end of the month shall be sent to the Unit holder in whose folio transactions have taken place during that month, on or before 10th of the succeeding month.

- In case of a specific request received from the Unit holders, the AMC/Fund will provide an account statement (reflecting transactions of the Fund) to the investors within 5 Business Days from the receipt of such request.
- Further, the CAS detailing holding across all schemes of all mutual funds at the end of every six months (i.e. September/ March), shall be sent by mail/email on or before 10th day of succeeding month, to all such Unit holders in whose folios no transaction has taken place during that period. The half yearly consolidated account statement will be sent by e-mail to the Unit holders whose e-mail address is available, unless a specific request is made to receive in physical form.
- The holding(s) of the beneficiary account holder for units held in demat mode will be shown in the statement issued by respective Depository Participants (DPs) periodically. For more details, please refer the Scheme Information Document (SID) and Statement of Additional Information (SAI).

2. Annual Financial Results:

The Scheme wise annual report or an abridged summary thereof shall be sent:

(i) by e-mail only to the Unit holders whose e-mail address is available with the Fund, (ii) in physical form to the Unit holders whose email address is not registered with the Fund and/or those Unit holders who have opted / requested for the same. The scheme-wise annual report or an abridged summary shall be sent by mail/e-mail not later than four months from the date of closure of the relevant accounting year (i.e. 31st March each year). The physical copy of the scheme-wise annual report or abridged summary thereof shall be made available to the investors at the registered office of the AMC. A link of the scheme annual report or abridged summary thereof shall be displayed prominently on the website of the Fund and shall also be displayed on the website of Association of Mutual Funds in India (AMFI).

3. Half yearly Disclosures:

Portfolio / Unaudited Financial Results (This is a list of securities where the corpus of the scheme is currently invested. The market value of these investments is also stated in portfolio disclosures) The Mutual Fund shall within one month from the close of each half year, that is on 31st March and on 30th September, host a soft copy of its unaudited financial results on their website and shall publish an advertisement disclosing the hosting of such financial results on their website, in atleast one English daily newspaper having nationwide circulation and in a newspaper having wide circulation published in the language of the region where the Head Office of the mutual fund is situated. The mutual fund may opt to send the complete statement of its scheme portfolio to all unit holders in lieu of the advertisement .