





PAN No. of Guardian (Mandatory)  KYC acknowledgement [Pl. ✓] ☐ Submitting now / ☐ Already submitted

**(MANDATORY) OTHER KYC DETAILS**

Gross Annual Income Details (Please tick(✓)): ☐ Below ₹ 1 Lac / ☐ ₹ 1-5 Lacs / ☐ ₹ 5-10 Lacs / ☐ ₹ 10-25 Lacs / ☐ ₹ 25 Lacs -1 Crore / ☐ > 1 Crore

OR Net-worth in  Net-worth should not be older than 1 year

as on (date)

Please tick if applicable: ☐ Politically Exposed Person (PEP)  
(for definition of PEP, please refer instruction '6A').  
☐ Not Applicable

☐ Related to a Politically Exposed Person (PEP)  
☐ Any Other Information

Country of Birth

Country of Residence

Nationality

Email-ID

Am I / Are we, a tax resident of any country other than India for tax purpose? if No, Please tick (✓) ☐

If yes, please indicate all countries in which you are resident for tax purposes and the associated Tax Reference Numbers below.

Country#	Address	Tax Reference / Identification Number
<input type="text"/>	<input type="text"/>	<input type="text"/>

# to include USA, where investor is a citizen / greencard holder of USA

**MODE OF OPERATION**

☐ Single ☐ Joint\* ☐ Either or Survivor(s) ☐ On Behalf of Minor (\*Default in case not indicated when applicants are more than one)

**POWER OF ATTORNEY (POA) (Details MANDATORY)**

Name of POA Holder

Status: ☐ Resident Individual ☐ NRI/PIO ☐ Others (Please Specify)  Gender: ☐ Male ☐ Female

Enclosed: ☐ Proof of KYC ☐ Proof of Identity & Address ☐ PAN Card Copy PAN (Mandatory)

Overseas Address of Power of Attorney Holder (if applicable)

City  State  Country  Pin/Zip

**CONTACT DETAILS (Please Provide your contact details even if you have already submitted your KYC acknowledgement)**

If the Applicant is Sole Proprietorship Firm, Please provide the name of Sole Proprietor. If HUF, Please provide the name of Karta In case of other Non-Individuals, Please provide the details of Contact Person.

Name

Tel

STD Code

Office

Residence

Fax

Email-ID  Mobile

**BANK PARTICULARS (It is mandatory to furnish bank particulars failing which application shall be rejected) (Refer Form instruction no. 5)**

Bank Account No.  Account Type : ☐ Savings ☐ Current ☐ NRE ☐ NRO ☐ FCNR

MICR Code (9 digit)  IFSC Code ( 11 digit for RTGS & NEFT)

Bank Name

Branch Address

City  PIN

Details of Beneficial Ownership (Please tick applicable category). Details to be provided as on date of application. (Refer instruction 25)

Category	<input type="checkbox"/> Unlisted company	<input type="checkbox"/> Partnership Firm	<input type="checkbox"/> Unincorporated Association / Body of Individuals	<input type="checkbox"/> Trust	<input type="checkbox"/> Foreign Investor
Ownership per cent	>25%	>15%	>15%	>=15%	

In case of any change in the beneficial ownership, the investor will be responsible to intimate SAHARA AMC / its Registrar / KRA as may be applicable immediately about such change.

Details of Beneficial Ownership (Please attach a separate sheet with this format if the space provided is insufficient)

Sr.No.	Name	Address	Details of Identity such as PAN / Passport	% of ownership
1	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
2	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
3	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
4	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

[Please attach self attested copy of PAN / Passport (proof of photo identity) along with application form]

ACKNOWLEDGEMENT  
(To be filled by investor)

as Normal Investment ☐ / or through SIP ☐

Received from Mr. / Ms. / M/s  an application for purchase of units of

, (scheme) subject to realisation of cheque(s)/demand draft(s).

₹ (in Figures)  Cheque/ DD No.  Dated

Bank Name  Bank Branch   
In case of Sahara Tax Gain Fund based on the production of this acknowledgement, the investor may claim tax exemption under Sec.80C of the IT Act till the statement of account is issued provided the payment instrument is encashed and the application and other documents are found to be in order.

Collection Centre's Receipt Date and Time

Cheque/DD is subject to realisation

**INVESTMENT AND PAYMENT DETAILS** Please submit one cheque / DD for each scheme (REFER TABLE "SCHEME NAME") Please See Page 14 & 19.

Scheme Name

Plan / Option

Sub Option

Cheque / DD No.	Net Amount (₹)	Bank & Branch Name & City	Mode of Payment : Cheque / DD <input type="checkbox"/> / RTGS <input type="checkbox"/> / NEFT <input type="checkbox"/> ECS <input type="checkbox"/> / Fund Transfer <input type="checkbox"/>
			@ For NRI(s) Source of Fund: <input type="checkbox"/> NRE <input type="checkbox"/> NRO <input type="checkbox"/> FCNR Account Type @ (SB/ CA/ NRE/ NRO/ FCNR) <input type="text"/>

**SIP ENROLMENT DETAILS**

Banker's Certificate is mandatory for applications in case of Demand Drafts. (Ref. instr. no.5)

OBTAIN & FILL IN REGISTRATION CUM ECS MANDATE FORM SEPARATELY | Selected SIP Date (please (✓) only one) ☐ 5th / ☐ 15th / ☐ 25th • No. of SIP Installments 

SIP Amount (in ₹)	Enrolment Period	Start Month (mm/yyyy) <input type="text"/>	End Month (mm/yyyy) <input type="text"/>	Frequency (✓) <input type="checkbox"/> Monthly <input type="checkbox"/> Quarterly
Payment Mechanism (✓)	<input type="checkbox"/> Option 1: Debit through ECS / Direct Debit facility (Tick this box, obtain & fill in registration cum ECS mandate form separately) (Refer SIP instruction no. 6B & 6C) <input type="checkbox"/> Option 2: Through Post Dated Cheques - Total Cheques <input type="text"/> Cheque Nos. from <input type="text"/> To <input type="text"/>			
Drawn On Bank <input type="text"/> Branch Name <input type="text"/> City <input type="text"/>				

**NOMINATION DETAILS (MANDATORY FOR SINGLE HOLDING)** (Refer form instruction no. 12)

MANDATORY - NON INTENTION TO NOMINATE

I/We  hereby nominate the under mentioned person to receive the amount to my/our credit in the event of my/our death indicated against the Name of the Nominee. I/We also understand that all payments and settlements made to such nominee shall be a valid discharge by the AMC / Mutual Fund / Trustee.

☐ I / We DO NOT WISH to nominate.  
(Applicable for investors who do not wish to nominate)

Name & Address of the Nominee	Guardian Name & Address (in case nominee is a minor)	Date of Birth (if minor)	Relationship with the Applicant	Signature of Nominee / Guardian [Optional]
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

**DEPOSITORY ACCOUNT DETAILS ( Refer Instruction No. 14a & 14b) (UNITS ARE INTENDED TO BE HELD IN DEMAT FORM)**

Refer instructions for details and SID for risk factors associated with listing of units. Please ensure that the sequence of names as mentioned in this Application Form matches with that of the account held with the Depository Participant.

Depository Participant Name (DP) :  Please(✓) ☐ National Securities Depository Limited ☐ Central Depository Services (India) LimitedBeneficiary Account Number :  DP ID  CLIENT ID  (16 digit beneficiary A/c No. to be mentioned above)

**TRANSACTION CHARGES :** Pursuant to SEBI circular vide no. Cir / IMD / DF / 13 / 2011 dated 22 August, 2011 a transaction charge per subscription of ₹ 10,000/- and above will be charged from the investors by the AMC and paid to the distributors as follows : a) existing investors : ₹ 100/- per subscription b) new investor : ₹ 150/- per subscription c) Transaction charge if any will be deducted by the AMC from the subscription Amount and paid to the Distributor and the balance shall be invested d) No Transaction charge on subscription below ₹ 10,000/-. e) No transaction charge on direct investments. f) Transaction charges shall be applicable on purchases / subscriptions relating to new inflows only.

**DECLARATION (Please ✓ whichever is applicable.)**

- ☐ I/We have read and understood the contents of the Scheme Information Document (SID)/ Statement of Additional Information (SAI) / Key Information Document (KIM) and Addendum(s) thereto of the respective scheme(s) and agree to abide by the terms, conditions, rules and regulations of the scheme(s) as applicable from time to time. I/We hereby declare that I/We are making this investment of the scheme for investment from our own funds on my/our personal behalf and are not beneficiaries of any fund obtained in contravention of Prevention of Money Laundering Act or any guidelines issued from time to time and subsequent amendments thereto including the section on "Prevention of Money Laundering", I/We hereby apply to the Trustee of Sahara Mutual Fund for units of the Scheme as indicated above and agree to abide by the terms and conditions, rules and regulations of the Scheme. I/We have not received and will not receive nor will be induced by any rebate or gifts, directly or indirectly, in making this investment. I/We further declare that the amount invested by me/us in the Scheme is derived through legitimate sources and is not held or designed for the purpose of contravention of any act, rules, regulations or any statute or legislation or any other applicable laws or any notifications, directions issued by any governmental or statutory authority from time to time.
- ☐ (Applicable for SIP Investors only). I/We hereby declare that the particulars given above are correct and express my/our willingness to make payments referred above through participation in ECS /Direct Debit Clearance. If the transaction is delayed or not effected at all, for reasons of incomplete or incorrect information on my/our part or circumstances beyond the control of AMC/its service provider, I/We would not hold the Asset Management Company responsible in any manner. I/We hereby authorize Sahara Mutual Fund and their authorised service providers, to get my/our above bank account debited by ECS / Direct Debit towards the collection of monthly payments on due SIP dates as opted by me/us. In the event of any changes in the bank particulars, I/We will submit a fresh mandate along with a cancellation request for the earlier mandate well in advance. I/We have read and agreed to the terms and conditions mentioned in KIM / SID / SAI.
- ☐ The details of the bank account provided above pertain to my / our bank account in my / our name.
- ☐ The ARN holder has disclosed to me/us all the commissions (in the form of trail commission distribution cost or any other cost), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us.
- ☐ The ARN holder has adequately explained the appropriateness of the scheme to me / us & I/We are fully convinced that there is no mis-selling to me/us & that I/We are fully responsible for making this investment.
- ☐ Upfront commission, if any will be paid to the AMFI registered and empanelled (with Sahara Mutual Fund) ARN Holder, directly by the Investor.
- ☐ The information as desired under FATCA/Foreign tax laws provided by me / us, required to be shared with relevant tax authority is true and correct to the best of my knowledge.
- Date / /

1st applicant/ Guardian (Signature) POA Signature	2nd applicant (Signature)	3rd applicant (Signature)
---	------------------------------	------------------------------

SAHARA ASSET MANAGEMENT COMPANY PRIVATE LIMITED Corporate Office : 97-98,9th Floor, Atlanta, Nariman Point, Mumbai-400 021. Tel : 022 22047197 / 98.

• Fax: (022) 22047199 Email: saharemutual@saharamutual.com

• Website: www.saharamutual.com

Registrar &amp; Transfer Agent: KARVY COMPUTER SHARE PVT. LTD. (KARVY) (Unit : Sahara Mutual Fund)

#59, 'SKANDA', Puttanna Road, Basavangudi, Bengaluru - 560 004, Ph : 080 - 26600785 / 26602852 Fax : 080 26600786

Toll Free No. : 18004254034/35 Email : service\_smf@karvy.com Note: All future communication in connection with the application should be addressed to the Registrar at the address given in this form, quoting full name of sole/first applicant, the application serial number, the name of the scheme/option, amount invested, date and the place of the AMC/ Collection Centre where the application was lodged/submitted.



## INSTRUCTIONS FOR INVESTMENT AND PAYMENT DETAILS

**INSTRUCTION - Investment Details** - Applicants can invest in one or more of the schemes by filling the amount of investments and submitting separate cheques for all investments under separate application forms. **Please refer to the respective SCHEME NAME / OPTION / SUB-OPTION to be filled up in the application form towards your investments.**

Please note that if you do not indicate the investment option of the relevant scheme, then the Mutual Fund will place you into the default option of the scheme, as per existing scheme provisions.

**INSTRUCTION - Payment Details** - The subscription amounts can be tendered by local cheques/demand drafts favouring the applicable scheme and must be crossed "Account Payee Only". The cheque / demand draft should be drawn on any bank which is situated at and is a member/ sub-member of the Bankers clearing house. Cheques /demand drafts drawn on a bank not participating in the clearing house will not be accepted. Cash will not be accepted.

Scheme name	Option	Sub-option
Sahara Wealth Plus Fund	Variable Pricing Option – Dividend Option	Payout
Sahara Wealth Plus Fund	Variable Pricing Option – Dividend Option	Re-Investment Option
Sahara Wealth Plus Fund	Variable Pricing Option – Growth Option	-
Sahara Wealth Plus Fund	Variable Pricing Option – Dividend Option – Direct	Payout
Sahara Wealth Plus Fund	Variable Pricing Option – Dividend Option- Direct	Re-Investment Option
Sahara Wealth Plus Fund	Variable Pricing Option – Growth Option-Direct	-
Sahara Wealth Plus Fund	Fixed Pricing Option – Dividend Option	Payout
Sahara Wealth Plus Fund	Fixed Pricing Option – Dividend Option	Re-Investment Option
Sahara Wealth Plus Fund	Fixed Pricing Option – Growth Option	-
Sahara Wealth Plus Fund	Fixed Pricing Option – Dividend Option - Direct	Payout
Sahara Wealth Plus Fund	Fixed Pricing Option – Dividend Option - Direct	Re-Investment Option
Sahara Wealth Plus Fund	Fixed Pricing Option – Growth Option-Direct	-
Sahara Infrastructure Fund	Variable Pricing Option – Dividend Option	Payout
Sahara Infrastructure Fund	Variable Pricing Option – Dividend Option	Re-Investment Option
Sahara Infrastructure Fund	Variable Pricing Option – Growth Option	-
Sahara Infrastructure Fund	Variable Pricing Option – Dividend Option - Direct	Payout
Sahara Infrastructure Fund	Variable Pricing Option – Dividend Option - Direct	Re-Investment Option
Sahara Infrastructure Fund	Variable Pricing Option – Growth Option-Direct	-
Sahara Infrastructure Fund	Fixed Pricing Option – Dividend Option	Payout
Sahara Infrastructure Fund	Fixed Pricing Option – Dividend Option	Re-Investment Option
Sahara Infrastructure Fund	Fixed Pricing Option – Growth Option	-
Sahara Infrastructure Fund	Fixed Pricing Option – Dividend Option - Direct	Payout
Sahara Infrastructure Fund	Fixed Pricing Option – Dividend Option - Direct	Re-Investment Option
Sahara Infrastructure Fund	Fixed Pricing Option – Growth Option-Direct	-
Sahara Power & Natural Resources Fund	Dividend Option	Payout
Sahara Power & Natural Resources Fund	Dividend Option	Re-Investment Option
Sahara Power & Natural Resources Fund	Growth Option	-

Scheme name	Option	Sub-option
Sahara Power & Natural Resources Fund	Dividend Option-Direct	Payout
Sahara Power & Natural Resources Fund	Dividend Option-Direct	Re-Investment Option
Sahara Power & Natural Resources Fund	Growth Option-Direct	-
Sahara Banking & Financial Services Fund	Dividend Option	Payout
Sahara Banking & Financial Services Fund	Dividend Option	Re-Investment Option
Sahara Banking & Financial Services Fund	Growth Option	-
Sahara Banking & Financial Services Fund	Dividend Option-Direct	Payout
Sahara Banking & Financial Services Fund	Dividend Option-Direct	Re-Investment Option
Sahara Banking & Financial Services Fund	Growth Option-Direct	-
Sahara R.E.A.L Fund	Dividend Option	Payout
Sahara R.E.A.L Fund	Dividend Option	Re-Investment Option
Sahara R.E.A.L Fund	Growth Option	-
Sahara R.E.A.L Fund	Dividend Option-Direct	Payout
Sahara R.E.A.L Fund	Dividend Option-Direct	Re-Investment Option
Sahara R.E.A.L Fund	Growth Option-Direct	-

The treatment of applications under "Direct" / "Regular" Plans, shall be as follows:

Scenario	Broker Code mentioned by the investor	Plan mentioned by the investor	Default Plan to be captured
1	Not mentioned	Not mentioned	Direct Plan
2	Not mentioned	Direct	Direct Plan
3	Not mentioned	Regular	Direct Plan
4	Mentioned	Direct	Direct Plan
5	Direct	Not Mentioned	Direct Plan
6	Direct	Regular	Direct Plan
7	Mentioned	Regular	Regular Plan
8	Mentioned	Not Mentioned	Regular Plan

In cases of wrong/ invalid/ incomplete ARN codes mentioned on the application form, the application shall be processed under Regular Plan. The AMC shall contact and obtain the correct ARN code within 30 calendar days of the receipt of the application form from the investor/ distributor. In case, the correct code is not received within 30 calendar days, the AMC shall reprocess the transaction under Direct Plan from the date of application without any exit load.

**For Direct Investments investors are requested to clearly mention 'Direct' option in the application form while investing.**

- It is suggested that investors / unitholders issue payment instrument (cheque, demand draft, pay order etc.) in favour of "Scheme name A/c First Investor Name" as stated in the application form or Statement of Account